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July 11, 2001

BOX PATENT APPLICATION  
United States Patent  
and Trademark Office  
Washington, DC 20031



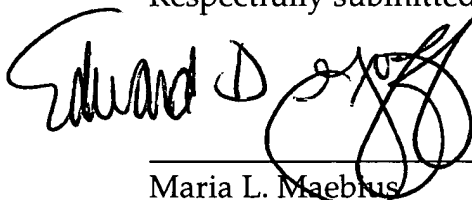
Applicants: Thomas CHITTENDEN et al.  
Serial No.: 09/828,870  
Filed: April 10, 2001  
Entitled: NOVEL PEPTIDES AND COMPOSITIONS  
WHICH MODULATE APOPTOSIS  
Our Ref: 104322.147US5

Dear Sir:

Enclosed herewith for appropriate action by the United States Patent and Trademark Office are the following documents:

1. Information Disclosure Statement;
2. PTO Form 1449 (3 sheets); and
3. a postcard acknowledging receipt of the documents.

Respectfully submitted



Maria L. Maebius  
Registration No. 42,967

#38,898  
for



PATENT Docket No.: 104322.147 US5

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
Thomas CHITTENDEN *et al.*. :  
Serial No.: 09/828,870 : Group Art Unit: To Be Assigned  
Filed: April 10, 2001 : Examiner: To Be Assigned  
For: NOVEL PEPTIDES AND :  
COMPOSITIONS WHICH :  
MODULATE APOPTOSIS :

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

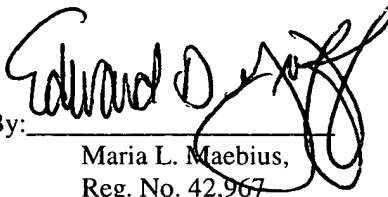

In accordance with the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom. These references were cited of record in related application Serial Nos. 08/908,597 (now U.S. Patent No. 5,863,795), 08/440,391 (now U.S. Patent No. 5,656,725), and 09/236,385 (now U.S. Patent No. 6,221,615), the benefit of priority under 35 U.S.C. § 120 of which has been claimed. In addition, some references were cited of record in the International Search Report of the corresponding International PCT Applications (PCT/US98/19765 and PCT/US96/06122). Copies of the references are not provided.

AUTHORIZATION

This IDS is being filed prior to the first Office Action, accordingly, no fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 08-0219.

Respectfully submitted,

HALE AND DORR LLP

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LIST OF ART CITED BY APPLICANT  (PTO-1449)				ATTY. DOCKET NO. 104322.147 US5		SERIAL NO. 09/828,870	
				APPLICANT Thomas CHITTENDEN <i>et al.</i>			
				FILING DATE April 10, 2000		GROUP TBA	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,283,173	2/1/94	Fields et al.				
	5,202,429	4/13/93	Tsjimoto				
	5,015,568	5/14/91	Tsjimoto				
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	9916787	4/8/99	PCT				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
Oltvai et al., Cell, Vol. 74: pp. 609-619.							
Bitterman, et al., Chest 105(3): 118S-121S (1994)							
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EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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LIST OF ART CITED BY APPLICANT (PTO-1449)				ATTY. DOCKET NO. 104322.147 US5		SERIAL NO. 09/828,879	
				APPLICANT Thomas CHITTENDEN <i>et al.</i>			
				FILING DATE April 10, 2001		GROUP TBA	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	Campos <i>et al.</i> , Blood 84:595-600 (1994).						
	Williams <i>et al.</i> , Cell 74:777-779 (1993).						
	Fanidi <i>et al.</i> , Nature 359:554-556 (1992).						
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	Wu <i>et al.</i> , Proc. Natl. Acad. Sci. USA 91:3602-3606 (1994).						
EXAMINER				DATE CONSIDERED			

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